# Overview of CENTRE@CLEF 2018: a First Tale in the Systematic Reproducibility Realm

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Abstract. Reproducibility has became increasingly important for many research areas, among those IR is not an exception and has started to be concerned with reproducibility and its impact on research results. This paper describes our first attempt to propose a lab on reproducibility named CENTRE and held during CLEF 2018. The aim of CENTRE is to run a reproducibility challenge across all the major IR evaluation campaigns and to provide the IR community with a venue where previous research results can be explored and discussed. This paper reports the participant results and preliminary considerations on the first edition of CENTRE@CLEF 2018, as well as some suggestions for future editions.

### 1 Introduction

Reproducibility is becoming a primary concern in many areas of science [14] as well as in computer science, as also witnessed by the recent ACM policy on result and artefact review and badging<sup>4</sup>. Information Retrieval (IR) is especially interested in reproducibility [10, 11, 28] since it is a discipline strongly rooted in experimentation where experimental evaluation represents a main driver of advancement and innovation.

Even if reproducibility has became part of the review forms at major conferences like SIGIR, this is more a qualitative assessment performed by a reviewer on the basis of what can be understood from a paper rather than an actual "proof" of the reproducibility of the experiments reported in the paper. Since 2015, the ECIR conference started a new track focused on reproducibility of previously published results. This conference track led to a stable enough flow of 3-4 reproducibility papers accepted each year but, unfortunately, this valuable effort did not produce a systematic approach to reproducibility: submitting authors adopted different notions of reproducibility, they adopted very diverse experimental protocols, they investigated the most disparate topics, resulting in a very fragmented picture of what was reproduced and what not, and the

<sup>&</sup>lt;sup>4</sup> https://www.acm.org/publications/policies/artifact-review-badging

outcomes of these reproducibility papers are spread over a series of potentially disappearing repositories and Web sites.

Moreover, if we consider open source IR systems, they are typically used as:

- starting point by new-comers in the field, which take them almost off-theshelf using default configuration to begin experience with IR and/or specific search tasks;
- base system on top of which to add a new component/technique you are interested to develop, keeping all the rest in the default configuration;
- baseline for comparison, again using default configuration.

Nevertheless, it has been repeatedly shown that best TREC systems still outperform off-the-shelf open source systems [2–4, 20, 21]. This is due to many different factors, among which lack of tuning on a specific collection when using default configuration, but it is also caused by the lack of the specific and advanced components and resources adopted by the best systems. It has been also shown that additivity is an issue, since adding a component on top of a weak or strong base does not produce the same level of gain [4, 20]. This poses a serious challenge when off-the-shelf open source systems are used as stepping stone to test a new component on top of them, because the gain might appear bigger starting from a weak baseline. Overall, the above considerations stress the need and urgency for a systematic approach to reproducibility in IR.

Therefore, the goal of CENTRE@CLEF  $2018^5$  is to run a joint task across CLEF/NTCIR/TREC on challenging participants:

- to reproduce best results of best/most interesting systems in previous editions of CLEF/NTCIR/TREC by using standard open source IR systems;
- to contribute back to the community the additional components and resources developed to reproduce the results in order to improve existing open source systems.

The paper is organized as follows: Section 2 introduces the setup of the lab; Section 3 discusses the participation and the experimental outcomes; and, Section 4 draws some conclusions and outlooks possible future works.

# 2 Evaluation Lab Setup

#### 2.1 Tasks

The CENTRE@CLEF 2018 lab offered two pilot tasks:

- Task 1 Replicability: the task focused on the replicability of selected methods on the same experimental collections;
- Task 2 Reproducibility: the task focused on the reproducibility of selected methods on the different experimental collections.

<sup>&</sup>lt;sup>5</sup> http://www.centre-eval.org/clef2018/

where we adopted the ACM Artifact Review and Badging definition of replicability and reproducibility:

- Replicability (different team, same experimental setup): the measurement can be obtained with stated precision by a different team using the same measurement procedure, the same measuring system, under the same operating conditions, in the same or a different location on multiple trials. For computational experiments, this means that an independent group can obtain the same result using the author's own artifacts.
  - In CENTRE@CLEF 2018 this meant to use the same collections, topics and ground-truth on which the methods and solutions have been developed and evaluated.
- Reproducibility (different team, different experimental setup): The measurement can be obtained with stated precision by a different team, a different measuring system, in a different location on multiple trials. For computational experiments, this means that an independent group can obtain the same result using artifacts which they develop completely independently. In CENTRE@CLEF 2018 this meant to use a different experimental col-
  - In CENTRE@CLEF 2018 this meant to use a different experimental collection, but in the same domain, from those used to originally develop and evaluate a solution.

#### 2.2 Replicability and Reproducibility Targets

Below we list the runs selected as targets of replicability and reproducibility among which the participants can choose. For each run, it is specified the collection for replicability and the collections for reproducibility; for more information, the list also provides references to the papers describing those runs as well as the overviews describing the overall task and collections.

Since these runs were not originally thought for being used as targets of a replicability/reproducibility exercise, we contacted the authors of the papers to inform them and ask their consent to use the runs.

- **Run**: AUTOEN [16]
  - Task type: CLEF Ad Hoc Multilingual Task
  - Replicability: Multi-8 Two Years On with topics of CLEF 2005 [9]
  - **Reproducibility**: Multi-8 with topics of CLEF 2003 [25, 5]
- Run: AH-TEL-BILI-X2EN-CLEF2008.TWENTE.FCW [24]
  - Task type: CLEF Ad Hoc, Bilingual Task
  - **Replicability**: TEL English (BL) with topics of CLEF 2008 [1]
  - **Reproducibility**: TEL French (BNF) and TEL German (ONB) with topics of CLEF 2008 [1]

TEL English (BL), TEL French (BNF) and TEL German (ONB) with topics of CLEF 2009 [13]

- Run: AH-TEL-BILI-X2DE-CLEF2008.KARLSRUHE.AIFB\_ONB\_EN [26]
  - Task type: CLEF Ad Hoc, Bilingual Task
  - **Replicability**: TEL German (ONB) with topics of CLEF 2008 [1]

• **Reproducibility**: TEL English (BL) and TEL French (BNF) with topics of CLEF 2008 [1]

TEL English (BL), TEL French (BNF) and TEL German (ONB) with topics of CLEF 2009  $\left[13\right]$ 

- $\mathbf{Run}$ : UDInfolabWEB2 [27]
  - $\bullet$  Task type: TREC Ad Hoc Web Task
  - Replicability: ClueWeb12 Category A with topics of TREC 2013 [7]
  - **Reproducibility**: ClueWeb09 Category A and B with topics of TREC 2012 [6]

ClueWeb12 Category B with topics of TREC 2013 [7]

ClueWeb12 Category A and B with topics of TREC 2014 [8]

- Run: uogTrDwl [23]
  - Task type: TREC Ad Hoc Web Task
  - Replicability: ClueWeb12 Category A with topics of TREC 2014 [8]
  - **Reproducibility**: ClueWeb09 Category A and B with topics of TREC 2012 [6]

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ClueWeb12 Category A and B with topics of TREC 2013 [7]
ClueWeb12 Category B with topics of TREC 2014 [8]
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- Run: RMIT-E-NU-Own-1 and RMIT-E-NU-Own-3 [15]
  - Task type: NTCIR Ad Hoc Web Task
  - **Replicability**: ClueWeb12 Category B with topics of NTCIR-13 [22]
  - Reproducibility: ClueWeb12 Category A with topics of NTCIR-13 [22]

#### 2.3 Evaluation Measures

The quality of the replicability runs has been evaluated from two points of view:

Effectiveness: how close are the performance scores of the replicated systems to those of the original ones. This is measured using the Root Mean Square Error (RMSE) [19] between the new and original Average Precision (AP) scores:

$$RMSE = \sqrt{\frac{1}{m} \sum_{i=1}^{m} \left( AP_{orig,i} - AP_{replica,i} \right)^2}$$
(1)

where m is the total number of topics,  $AP_{orig,i}$  is the AP score of the original target run on topic  $t_i$  and  $AP_{replica,i}$  is the AP score of the replicated run on topic  $t_i$ .

- Ranked result lists: since different result lists may produce the same effectiveness score, we also measure how close are the ranked results list of the replicated systems to those of the original ones. This is measured using Kendall's  $\tau$  correlation coefficient [18] among the list of retrieved documents for each topic, averaged across all the topics. The Kendall's  $\tau$  correlation coefficient on a single topic is given by:

$$\tau_i(orig, replica) = \frac{P - Q}{\sqrt{(P + Q + T)(P + Q + U)}}$$

$$\bar{\tau}_i(orig, replica) = \frac{1}{m} \sum_{i=1}^m \tau_i(orig, replica)$$
(2)

where m is the total number of topics, P is the total number of concordant pairs (document pairs that are ranked in the same order in both vectors) Q the total number of discordant pairs (document pairs that are ranked in opposite order in the two vectors), T and U are the number of ties, respectively, in the first and in the second ranking.

Since for the reproducibility runs we do not have an already existing run to compare against, we planned to compare the reproduced run score with respect to a *baseline run* to see whether the improvement over the baseline is comparable between the original and the new dataset. However, we did not receive any reproducibility runs so we cannot put in practice this part of the evaluation task.

# 3 Participation and Outcomes

17 groups registered for participating in CENTRE@CLEF2018 but, unfortunately, only one group succeeded in submitting one replicability run.

Technical University of Wien (TUW) [17] replicated the run by Cimiano and Sorg, i.e. AH-TEL-BILI-X2DE-CLEF2008.KARLSRUHE.AIFB\_ONB\_EN, the code they used to replicate the run is available online<sup>6</sup>.

The paper by Cimiano and Sorg [26] uses Cross-Lingual Explicit Semantic Analysis (CL-ESA) to leverage Wikipedia articles to deal with multiple languages in a uniform way.

TUW encountered the following issues in replicating the original run:

- the Wikipedia underlying database dump of 2008 was no longer available and they have to resort to the static HTML dump of Wikipedia in the same period;
- the above issue caused a processing of Wikipedia articles sensibly different from the original one in [26] and had to rely on several heuristics to cope with HTML;
- they fixed an issue in the Inverse Document Frequency (IDF) computation, which might result in negative values according to the equation provided by [26];
- they had to deal with redirect pages in the static HTML dump of Wikipedia in order to find links across wiki pages in multiple languages;

<sup>&</sup>lt;sup>6</sup> https://bitbucket.org/centre\_eval/c2018\_dataintelligence/src/master/

 they had to find an alternative interpretation language identification heuristics.

All these issues prevented TUW from successfully replicating the original run. Indeed the *Mean Average Precision (MAP)* of the run by Cimiano and Sorg was 0.0667 while the MAP of the run by TUW is 0.0030. This is further stressed by the RMSE, computed according to eq. (1), which is 0.1132 and the average Kendall's  $\tau$  correlation among the ranked lists of retrieved documents, computed according to eq. (2), which is  $-5.69 \cdot 10^{-04}$ .

### 4 Conclusions and Future Work

This paper reports the results on the first edition of CENTRE@CLEF2018. A total of 17 participants enrolled in the lab, however just one group managed to submit a run. As reported in the results section, the group encountered many substantial issues which prevented them to actually replicate the targeted run, as described in more detail in their paper [17].

These results support anecdotal evidence in the field about how much difficult is to actually replicate (and even more reproduce) research results, even in a field with such a long experimental tradition as IR is. However, the lack of participation is a signal that the community is somehow overlooking this important issue. As it also emerged from a recent survey within the SIGIR community [12], while there is a very positive attitude towards reproducibility and it is considered very important from a scientific point of view, there are many obstacles to it such as the effort required to put it into practice, the lack of rewards for achieving it, the possible barriers for new and inexperienced groups, and, least but not last, the (somehow optimistic) researcher's perception that their own research is already reproducible.

For the next edition of the lab we are planning to propose some changes in the lab organization to increase the interest and participation of the research community. First, we will target for newer and more popular systems to be reproduced, moreover we will consider other tasks than the AdHoc, as for example the medical or other popular domains.

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